



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Koji NOZAKI, et al**

Group Art Unit: **Not yet assigned**

Serial No.: **10/623,679**

Examiner: **Not yet assigned**

Filed: **July 22, 2003**

P.T.O. Confirmation No.: **Not yet assigned**

For: **RESIST PATTERN THICKENING MATERIAL, RESIST PATTERN AND  
PROCESS FOR FORMING THE SAME, AND SEMICONDUCTOR DEVICE  
AND PROCESS FOR MANUFACTURING THE SAME**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: February 19, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the document listed on the attached Form PTO-1449. A copy of the document is attached. These documents were cited in a Search Report for a corresponding European application. A copy of the Search Report is also enclosed.

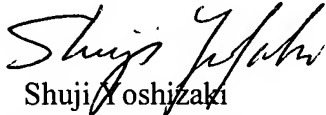
No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

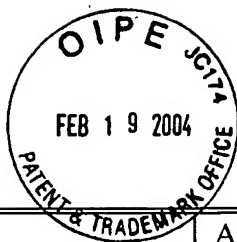
  
Shuji Yoshizaki  
Agent for Applicants  
Limited Recognition

Enclosures: Limited Recognition  
PTO-1449 and 8 References  
A copy of European Search Report

Atty. Docket No. **030891**  
1250 Connecticut Avenue, N.W., Suite 700  
Washington, DC 20036  
Tel: (202) 822-1100  
Fax: (202) 822-1111  
SY/mt

**38834**

PATENT TRADEMARK OFFICE



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| <b>INFORMATION<br/>DISCLOSURE<br/>CITATION<br/>PTO-1449</b> | Atty. Docket No. <b>030891</b>         | Serial No. <b>10/623,679</b>            |
|   | Applicants: <b>Koji NOZAKI, et al.</b> |   |
|   | Filing Date: <b>July 22, 2003</b>      | Group Art Unit: <b>Not yet assigned</b> |

### U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Document No. | Name             | Date       | Class | Subclass | Filing Date<br>(If appropriate) |
|---------------------|--------------|------------------|------------|-------|----------|---------------------------------|
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| AE                  |              |                  |            |       |          |                                 |

### FOREIGN PATENT DOCUMENTS

| Document No. |               | Date       | Country | Translation<br>(Yes or No) |
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| AG           | DE 100 14 083 | 03/22/2001 | Germany | No                         |
| AH           | DE 198 14 142 | 10/15/1998 | Germany | No                         |
| AI           | EP 1 223 470  | 07/17/2002 | Europe  | N/A                        |
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| AL           | WO 03/014830  | 02/20/2003 | PCT     | N/A                        |
| AM           | EP 1 343 052  | 09/10/2003 | Europe  | N/A                        |
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| AO           |               |            |         |                            |
| AP           |               |            |         |                            |

### OTHER DOCUMENTS

|          |                 |
|----------|-----------------|
| AQ       |                 |
| Examiner | Date Considered |